

Interview Summary

Application No.

09/977,050

Applicant(s)

ELLIS ET AL.

Examiner

Catherine Michelle Tarae

Art Unit

3623

All participants (applicant, applicant's representative, PTO personnel):

(1) Scott L. Jarrett: (3) _____

(2) Mr. David Brush (Reg. No. 34,557): (4) _____

Date of Interview: 08 August 2007.

Type: a) ☒ Telephonic b) ☐ Video Conference
c) ☐ Personal [copy given to: 1) ☐ applicant 2) ☐ applicant's representative]

Exhibit shown or demonstration conducted: d) ☐ Yes e) ☒ No.
If Yes, brief description: _____

Claim(s) discussed: None.

Identification of prior art discussed: None.

Agreement with respect to the claims f) ☐ was reached. g) ☐ was not reached. h) ☒ N/A.

Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: See Continuation Sheet.

(A fuller description, if necessary, and a copy of the amendments which the examiner agreed would render the claims allowable, if available, must be attached. Also, where no copy of the amendments that would render the claims allowable is available, a summary thereof must be attached.)

THE FORMAL WRITTEN REPLY TO THE LAST OFFICE ACTION MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP Section 713.04). If a reply to the last Office action has already been filed, APPLICANT IS GIVEN A NON-EXTENDABLE PERIOD OF THE LONGER OF ONE MONTH OR THIRTY DAYS FROM THIS INTERVIEW DATE, OR THE MAILING DATE OF THIS INTERVIEW SUMMARY FORM, WHICHEVER IS LATER, TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW. See Summary of Record of Interview requirements on reverse side or on attached sheet.

Examiner Note: You must sign this form unless it is an Attachment to a signed Office action.

Examiner's signature, if required

Continuation of Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: Applicant's representative and examiner briefly discussed Applicant's the current status of the application and Applicant's after final amendment filed August 3, 2007. Examiner indicated that the after final amendment requires further consideration and search and therefore will not be entered. In an effort to further prosecution of the instant application examiner provided several articles, see attached, directed to enterprise risk management, active risk management, project risk management and the applicant's own enterprise risk management/active risk management product available as early as September 2000 .